



GIP 2874 #3

Patent
Attorney Docket: 260/061

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Edgar A. Mendoza, et al.

Serial No.: 09/574,840

Filed: May 19, 2000

For: THERMALLY-ASSISTED PHOTO-
LITHOGRAPHIC PROCESS USING SOL-
GEL DERIVED GLASS AND PRODUCTS
MADE THEREBY

Group Art Unit: 2874

Examiner:

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)(3)

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant(s) hereby disclose to the Patent Office patents, publications or other information of which they are aware, that they believe may be material to the patentability of one or more of the pending claims in this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 CFR 1.56 and the submission thereof by Applicant(s) shall not be construed as an admission that any such patent, publication or other information referred to therein is material or

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CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

August 13, 2002
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Yolanda G. Ybuan

Name of Person Mailing Paper

Yolanda G. Ybuan

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considered to be material (37 CFR 1.97(h)), or even qualifies as "prior art" under 35 USC 102 with respect to this invention unless specifically designated by Applicant(s) as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR 1.56, exists.

Attached is PTO Form 1449 listing the patents, publications and other information that may be material to the patentability of one or more claims of the subject application. Copies of all such items are enclosed herewith. Applicant respectfully requests that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Applicant believes that no fee should be required for consideration of this Information Disclosure Statement. Should Applicant be in error, however, please charge any fee required for the consideration of this paper to counsel's Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: _____

8/13/02

By: _____

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Enclosures: PTO Form 1449